

Appendix 3

Business Operation Prospectus

1. Organization:

(1) Organizational Chart (In case of no organizational chart, please skip.)

(2) Manpower Deployment (Please specify number of persons and positions)

No history record of exporting fisheries products managed under these Regulations.

(Leave Section 2 to 4 blank if this paragraph is ticked)

2. Items for Purchases and Sales (Additional columns may be added in case of insufficient columns)

Name of Goods	Sources of Purchase			Flow of Sales		
	Locally produced (metric ton)	Imported (metric ton)	Imported from (country)	Domestically distributed (metric ton)	Exported (metric ton)	Exported to (country)
Total						
Ratio						

3. Contractors for purchases and sales:

(1) Contractors for Purchase (Additional columns may be added in case of insufficient columns)

No.	Contractor	Country of Contractor	Name of Goods	Average purchased volume in recent three years (metric ton)	Ratio of purchase
	Total				100%

(2) Contractors for Sale (Additional columns may be added in case of insufficient columns)

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No.	Contractor	Country of Contractor	Name of Goods	Average sold volume in recent three years (metric ton)	Ratio of sales
	Total				100%

4. Risk Management

Item	Description	Please tick
Compliance record	Any serious infringement listed in subparagraph (11), (14), (15) or (17) to (19) of Article 13, paragraph 1 of the Act in the past one year, or more than three violations other than serious infringement in the past three years.	
	Any serious infringement listed in subparagraph (11), (14), (15) or (17) to (19) of Article 13, paragraph 1 of the Act or violation other than serious infringement in the past three years, or more than three violations other than serious infringement in the past five years.	
	Any audit has been conducted in the past three years and the rating was "C".	
	Any audit has been conducted in the past three years and the rating was "B".	
	Any audit has been conducted in the past three years and the rating was "A", or no audit has been conducted in the past three years.	
	Any audit has been conducted in the past three years and the rating was "A plus".	
Sources of fisheries products	From the affected catches or fisheries products by any country under trade sanction imposed by the international fisheries organization(s); or from any IUU fishing vessel listed on the IUU fishing vessels list promulgated pursuant to Article 13, paragraph 2 of the Act; or from any fishing vessel(s) registered in the IUU fishing non-cooperating (red card) country identified and announced by the European Union (EU)	
	From any fishing vessel listed on the sanction list pursuant to the Act for Distant Water Fisheries	
	From any foreign flagged fishing vessel	
	From any national fishing vessel with the distant water fisheries permit	
Supplier	Any foreign fishing vessel (fisheries company), foreign supplier	
	Any national fishing vessel (fisheries company), or national supplier but not an exporter of distant water fisheries product	
	An exporter of distant water fisheries product	

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Traded fish species	Bluefin tuna, southern bluefin tuna	
	Bigeye tuna, albacore tuna, yellowfin tuna, swordfish	
	Skipjack, sharks, other marlins	
	Argentine shortfin squid, jumbo flying squid, neon flying squid, saury	
	Shark fins	
Trade pattern	Exporting directly from abroad the catches or fisheries products as listed in Appendix 1 of these Regulations	
	Exporting from the Republic of China the catches or fisheries products as listed in Appendix 1 of these Regulations	
	Selling within the Republic of China the catches or products as listed in Appendix 1 of these Regulations	
Volume exported	Exporting the catches or fisheries products as listed in Appendix 1 of these Regulations with the export volume over 100,000 metric tons in the preceding year.	
	Exporting the catches or fisheries products as listed in Appendix 1 of these Regulations with the export volume over 10,000 metric tons and of 100,000 metric tons or less in the preceding year.	
	Exporting the catches or fisheries products as listed in Appendix 1 of these Regulations with the export volume over 1,000 metric tons and of 10,000 metric tons or less in the preceding year.	
	Exporting the catches or fisheries products as listed in Appendix 1 of these Regulations with the export volume of 1,000 metric tons or less in the preceding year.	
Market (destination of products sold)	The EU	
	The United States of America, Japan	
	Any other country except the EU, the United States of America, and Japan	

